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## TRANSMITTAL FORM

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Refs.

Application Number

09/435,540

Filing Date

November 8, 1999

First Named Inventor

Steven R. Donovan

Group Art Unit

2766

JUL 3 2001

Examiner Name

Not Assigned

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Technology Center 2100  
JUL 3 2001

Attorney Docket Number

RIC-99-057

### ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
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- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
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- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
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- Proprietary Information
- Status Letter
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PTO Form-1449  
Return Postcard  
7 References

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Michael B. Chernoff Reg. No. 42,408  
WorldCom, Inc.

Signature

Date

7/24/01

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#10  
RIC-99-057

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Steven R. Donovan

Serial No.: 09/435,540

Filed: November 8, 1999

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:  
: Group Art Unit: 2766  
:  
: Examiner: Not Assigned

For: A Method of Combining Internet Protocols for Session Setup, Teardown, Authentication, Authorization, and Accounting Using the Differentiated Services Model

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INFORMATION DISCLOSURE STATEMENTAssistant Commissioner for Patents  
Washington, DC 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97, and 1.98, the attention of the U.S. Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. It is respectfully requested that the documents be expressly and independently considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

US 5,680,116, US 5,883,894, US 5,930,348, and US 6,157,648, listed on the attached PTO-1449 form, are submitted under 37 C.F.R. § 1.97(e)(2), and are submitted before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, therefore no fee is due. Therefore, no item of information submitted under 37 C.F.R. § 1.97(e)(2) in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in

the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

The articles by H. Schulzrinne, J. Rosenberg et al. and E. Wedlund et al., listed on the attached PTO-1449 form, are submitted under 37 C.F.R. § 1.97(e)(1), and are submitted before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application. A copy of the International Search Report is enclosed for the Examiner's convenience. Therefore, each item of information submitted in the information disclosure statement under 37 C.F.R. § 1.97(e)(1) was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

It is respectfully requested that the documents cited in this Information Disclosure Statement be independently considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 13-2491 and please credit any excess fees to such deposit account.

Respectfully submitted,

Date: 7/24/01



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